

7.4 EMPIRICAL RELATIVE FREQUENCY RELATIONS

A series of N observations may be ranked in descending order with the highest value assigned a rank m of 1 and the smallest assigned a rank m of N . The probability P_m that the observation with rank m is equaled or exceeded becomes

$$P_m = \left(\frac{m}{N}\right)_{N \rightarrow \infty} \tag{7.8}$$

as the number of observations (sample size) N approaches infinity. Without N approaching infinity, the relative frequency relation

$$P_m = \frac{m}{N} \tag{7.9}$$

provides an estimate of the probability of observation m being equaled or exceeded, with the accuracy improving with increasing sample size. Equation 7.9 will assign an exceedance probability of 1.0 to the smallest of the N observations, indicating a zero probability of obtaining a value less than those observed, which is usually not correct. Other frequency relations (Eqs. 7.10 and 7.11) have been formulated that eliminate assigning an exceedance probability of 1.0 to an observation. Empirical frequency relations are often called plotting position formulas because they are used to plot observations on probability graph paper.

The general form of most plotting position formulas is as follows:

$$P_m = \frac{m - a}{N + b} \tag{7.10}$$

Equation 7.9 with $a = b = 0$ and the Weibull formula (Eq. 7.11) with $a = 0$ and $b = 1$ are the most commonly used forms of Eq. 7.10.

$$P_m = \frac{m}{N + 1} \tag{7.11}$$

The Weibull formula may be expressed in terms of either annual exceedance probability or recurrence interval T for rank m and number of years of observation N .

$$P = \frac{m}{N + 1} \quad \text{and} \quad T = \frac{N + 1}{m} \tag{7.12}$$

The exceedance probability may be expressed as an exceedance frequency in percent by multiplying P_m and P from Eqs. 7.8–7.12 by 100 percent.

SOURCE: Wurbs & James,
2002

TABLE 3.4 Plotting Position Formulas

| Method | Solve for $P(X > x)$ | For $m = 1$ and $n = 10$ | |
|--------------|---|-----------------------------|------|
| | | P | T |
| California | $\frac{m}{n}$ | .10 | 10 |
| Hazen | $\frac{2m - 1}{2n}$ | .05 | 20 |
| Beard | $1 - (0.5)^{1/n}$ | .067 | 14.9 |
| Weibull | $\frac{m}{n + 1}$ | .091 | 11 |
| Chegadayevev | $\frac{m - 0.3}{n + 0.4}$ | .067 | 14.9 |
| Blom | $\frac{m - \frac{3}{8}}{n + \frac{1}{4}}$ | .061 | 16.4 |
| Tukey | $\frac{3m - 1}{3n + 1}$ | .065 | 15.5 |

where n = the number of years of record
 m = the rank
 a = a parameter depending on n as follows:

| | | | | | |
|-----|-------|-------|-------|-------|-------|
| n | 10 | 20 | 30 | 40 | 50 |
| a | 0.448 | 0.443 | 0.442 | 0.441 | 0.440 |
| n | 60 | 70 | 80 | 90 | 100 |
| a | 0.440 | 0.440 | 0.440 | 0.439 | 0.439 |

SOURCE: Viessman, W., Jr. and G. L. Lewis
 In Introduction to Hydrology
 Prentice-Hall, 2003

EMPIRICAL METHOD

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TABLE 7.1 MAXIMUM ANNUAL DISCHARGE IN THE MISSISSIPPI RIVER AT ST. LOUIS

| Year | Flow (m ³ /s) | Year | Flow (m ³ /s) | Year | Flow (m ³ /s) |
|------|--------------------------|------|--------------------------|------|--------------------------|
| 1933 | 12,400 | 1955 | 8,800 | 1977 | 11,000 |
| 1934 | 6,260 | 1956 | 5,860 | 1978 | 16,200 |
| 1935 | 18,500 | 1957 | 9,620 | 1979 | 19,500 |
| 1936 | 9,450 | 1958 | 14,300 | 1980 | 9,930 |
| 1937 | 10,600 | 1959 | 10,300 | 1981 | 14,400 |
| 1938 | 12,300 | 1960 | 19,000 | 1982 | 20,700 |
| 1939 | 15,100 | 1961 | 16,700 | 1983 | 20,300 |
| 1940 | 5,240 | 1962 | 16,700 | 1984 | 16,400 |
| 1941 | 14,000 | 1963 | 8,510 | 1985 | 19,500 |
| 1942 | 18,900 | 1964 | 8,710 | 1986 | 20,500 |
| 1943 | 23,700 | 1965 | 15,600 | 1987 | 11,900 |
| 1944 | 23,700 | 1966 | 10,500 | 1988 | 8,850 |
| 1945 | 17,400 | 1967 | 15,000 | 1989 | 9,280 |
| 1946 | 14,200 | 1968 | 9,790 | 1990 | 17,000 |
| 1947 | 22,300 | 1969 | 17,500 | 1991 | 12,400 |
| 1948 | 17,900 | 1970 | 15,300 | 1992 | 14,600 |
| 1949 | 12,000 | 1971 | 11,900 | 1993 | 30,600 |
| 1950 | 13,100 | 1972 | 11,500 | 1994 | 17,000 |
| 1951 | 22,200 | 1973 | 24,200 | 1995 | 22,500 |
| 1952 | 19,400 | 1974 | 16,500 | 1996 | 17,400 |
| 1953 | 10,400 | 1975 | 13,700 | 1997 | 15,400 |
| 1954 | 8,230 | 1976 | 12,700 | 1998 | 15,500 |

Example 7.4

The Weibull formula is used to develop a frequency relationship for peak flows on the Mississippi River at St. Louis. The observations of peak annual flows in Table 7.1 are rearranged in ranked order in Table 7.2. Annual exceedance probabilities for each observed flow are assigned using the Weibull formula. The flows are plotted with their assigned exceedance frequencies on normal probability paper in Fig. 7.1 and on log-normal probability paper in Fig. 7.2. These plots and the other information included in Figs. 7.1 and 7.2 are discussed in Sections 7.6 and 7.7.

TABLE 7.2 FLOWS FROM TABLE 7.1 IN RANKED ORDER WITH P AND T FROM WEIBULL FORMULA (EXAMPLE 7.4)

| Rank <i>m</i> | $P = m/67$ | $T = 67/m$ | Flow (m ³ /s) | Year | Rank <i>m</i> | $P = m/67$ | $T = 67/m$ | Flow (m ³ /s) | Year |
|------------------|------------|------------|-----------------------------|------|------------------|------------|------------|-----------------------------|------|
| 1 | 0.0149 | 67.0 | 30,600 | 1993 | 34 | 0.508 | 1.97 | 14,600 | 1992 |
| 2 | 0.0299 | 33.5 | 24,200 | 1973 | 35 | 0.522 | 1.91 | 14,400 | 1981 |
| 3 | 0.0448 | 22.3 | 23,700 | 1943 | 36 | 0.537 | 1.86 | 14,300 | 1958 |
| 4 | 0.0597 | 16.8 | 23,700 | 1944 | 37 | 0.552 | 1.81 | 14,200 | 1946 |
| 5 | 0.0746 | 13.4 | 22,500 | 1995 | 38 | 0.567 | 1.76 | 14,000 | 1941 |
| 6 | 0.0896 | 11.2 | 22,200 | 1951 | 39 | 0.582 | 1.72 | 13,700 | 1975 |
| 7 | 0.1045 | 9.6 | 20,700 | 1982 | 40 | 0.597 | 1.68 | 13,100 | 1950 |
| 8 | 0.119 | 8.4 | 20,500 | 1986 | 41 | 0.612 | 1.63 | 12,700 | 1976 |
| 9 | 0.134 | 7.4 | 20,300 | 1947 | 42 | 0.627 | 1.60 | 12,400 | 1933 |
| 10 | 0.149 | 6.7 | 20,300 | 1983 | 43 | 0.642 | 1.56 | 12,400 | 1991 |
| 11 | 0.164 | 6.1 | 19,500 | 1979 | 44 | 0.657 | 1.52 | 12,300 | 1938 |
| 12 | 0.179 | 5.6 | 19,500 | 1985 | 45 | 0.672 | 1.49 | 12,000 | 1949 |
| 13 | 0.194 | 5.2 | 19,400 | 1952 | 46 | 0.687 | 1.46 | 11,900 | 1971 |
| 14 | 0.209 | 4.8 | 19,400 | 1960 | 47 | 0.702 | 1.43 | 11,900 | 1987 |
| 15 | 0.224 | 4.5 | 18,900 | 1942 | 48 | 0.716 | 1.40 | 11,500 | 1972 |
| 16 | 0.239 | 4.2 | 18,500 | 1935 | 49 | 0.731 | 1.37 | 11,000 | 1977 |
| 17 | 0.254 | 3.9 | 17,900 | 1948 | 50 | 0.746 | 1.34 | 10,600 | 1937 |
| 18 | 0.269 | 3.7 | 17,500 | 1969 | 51 | 0.761 | 1.31 | 10,500 | 1966 |
| 19 | 0.284 | 3.5 | 17,400 | 1945 | 52 | 0.776 | 1.29 | 10,400 | 1953 |
| 20 | 0.299 | 3.4 | 17,400 | 1996 | 53 | 0.791 | 1.26 | 10,300 | 1959 |
| 21 | 0.313 | 3.2 | 17,000 | 1990 | 54 | 0.806 | 1.24 | 9,930 | 1980 |
| 22 | 0.328 | 3.0 | 17,000 | 1994 | 55 | 0.821 | 1.22 | 9,790 | 1968 |
| 23 | 0.343 | 2.9 | 16,700 | 1961 | 56 | 0.836 | 1.20 | 9,620 | 1957 |
| 24 | 0.358 | 2.8 | 16,700 | 1962 | 57 | 0.851 | 1.18 | 9,450 | 1936 |
| 25 | 0.373 | 2.7 | 16,500 | 1974 | 58 | 0.866 | 1.16 | 9,280 | 1989 |
| 26 | 0.388 | 2.6 | 16,400 | 1984 | 59 | 0.881 | 1.14 | 8,850 | 1988 |
| 27 | 0.403 | 2.5 | 16,200 | 1978 | 60 | 0.896 | 1.12 | 8,800 | 1955 |
| 28 | 0.418 | 2.4 | 15,600 | 1965 | 61 | 0.910 | 1.10 | 8,710 | 1964 |
| 29 | 0.433 | 2.3 | 15,500 | 1998 | 62 | 0.925 | 1.08 | 8,510 | 1963 |
| 30 | 0.448 | 2.2 | 15,400 | 1997 | 63 | 0.940 | 1.06 | 8,230 | 1954 |
| 31 | 0.463 | 2.2 | 15,300 | 1970 | 64 | 0.955 | 1.05 | 6,260 | 1934 |
| 32 | 0.478 | 2.1 | 15,100 | 1939 | 65 | 0.970 | 1.03 | 5,860 | 1956 |
| 33 | 0.493 | 2.0 | 15,000 | 1967 | 66 | 0.985 | 1.02 | 5,240 | 1940 |

With 66 years of observations, the recurrence interval assigned to the highest observed discharge is

$$T = \frac{N + 1}{m} = \frac{66 + 1}{1} = 67 \text{ years}$$

with an associated exceedance probability of

$$P = \frac{m}{N + 1} = \frac{1}{66 + 1} = 0.0149$$

SOURCE: Wurbs & James, 2002

7.6 FREQUENCY GRAPHS

The frequency analysis for the Mississippi River at St. Louis is presented graphically in Figs. 7.1 and 7.2. These graphs were printed from the Hydrologic Engineering Center-Flood Frequency Analysis (HEC-FFA) (Hydrologic Engineering Center, 1992) computer program discussed in Section 7.7.1. The confidence limits on the frequency curves are discussed in Section 7.7.2. The Weibull plotting positions from Section 7.4 and analytical flow frequency curves from Section 7.5 are discussed in the following paragraphs.

In Fig. 7.1, flows are on an arithmetic scale versus exceedance frequency on a normal probability scale. In the log-normal graph of Fig. 7.2, the flows are on a logarithmic scale. The Weibull plotting positions from Table 7.2 are plotted on both graphs as discussed in Section 7.4. A curve could be drawn through the 66 data points manually based on judgment regarding the best fit. Different people might draw the line somewhat differently. However, the frequency curve lines actually included on the two graphs are based on the analytical probability functions discussed in Section 7.5, not Weibull plotting positions. The frequency curves are fixed precisely by the analytical distributions with parameters computed from the data. The normal distribution and log-Pearson type III distribution are graphed in Figs. 7.1 and 7.2, respectively.

The normal distribution is a straight line on graph paper with an arithmetic scale versus normal probability scale. Thus, the frequency curve in Fig. 7.1 is a straight line through the 10-year and 100-year recurrence interval flows of 21,500 and 27,000 m³/s determined in Example 7.5 or any other two points computed based on the normal probability distribution. The log-normal distribution is linear on log-normal graph paper, which has a logarithmic scale versus normal probability scale as illustrated by Fig. 7.2. Equivalently, a graph of logarithms of flows plotted on an arithmetic scale versus exceedance frequencies determined from the log-normal distribution plotted on a normal scale is linear. Although the log-normal distribution is not plotted in Fig. 7.2, it easily could be. The 10-year and 100-year flows determined in Example 7.5 define a straight line representing the log-normal distribution on log-normal graph paper.

The log-Pearson type III flow frequency curve is shown in Fig. 7.2, along with confidence limits that are discussed later in Section 7.7.2. The graph has logarithmic versus normal probability scales. With a nonzero skew coefficient, the log-Pearson type III distribution is a nonlinear curve. If the skew coefficient is zero, the log-Pearson type III distribution is equivalent to the log-normal distribution and plots as a straight line on log-normal probability paper.

The 1993 flood discussed in Section 2.2.3.2 resulted in a peak discharge of 30,600 m³/s on August 1, 1993 at the gage on the Mississippi River at St. Louis. The log-Pearson type III curve in Fig. 7.2 indicates that 30,600 m³/s has an exceedance frequency of about 0.4 percent ($P = 0.004$ and $T = 250$ years). This analysis addresses only peak discharge at this particular gaging station. As discussed in Section 2.2.3.2, the 1993 flood in the Midwest encompassed the Missouri and Mississippi Rivers and their tributaries in several states. Different recurrence intervals are assigned at different locations for the same flood.

SOURCE: Wurbs & James,
2002

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EXAMPLES OF FREQUENCY GRAPHS
 [HEC - FFA computer program]
 [Hydrologic Eng. Center - Flood Frequency Analysis]

Arithmetic scale

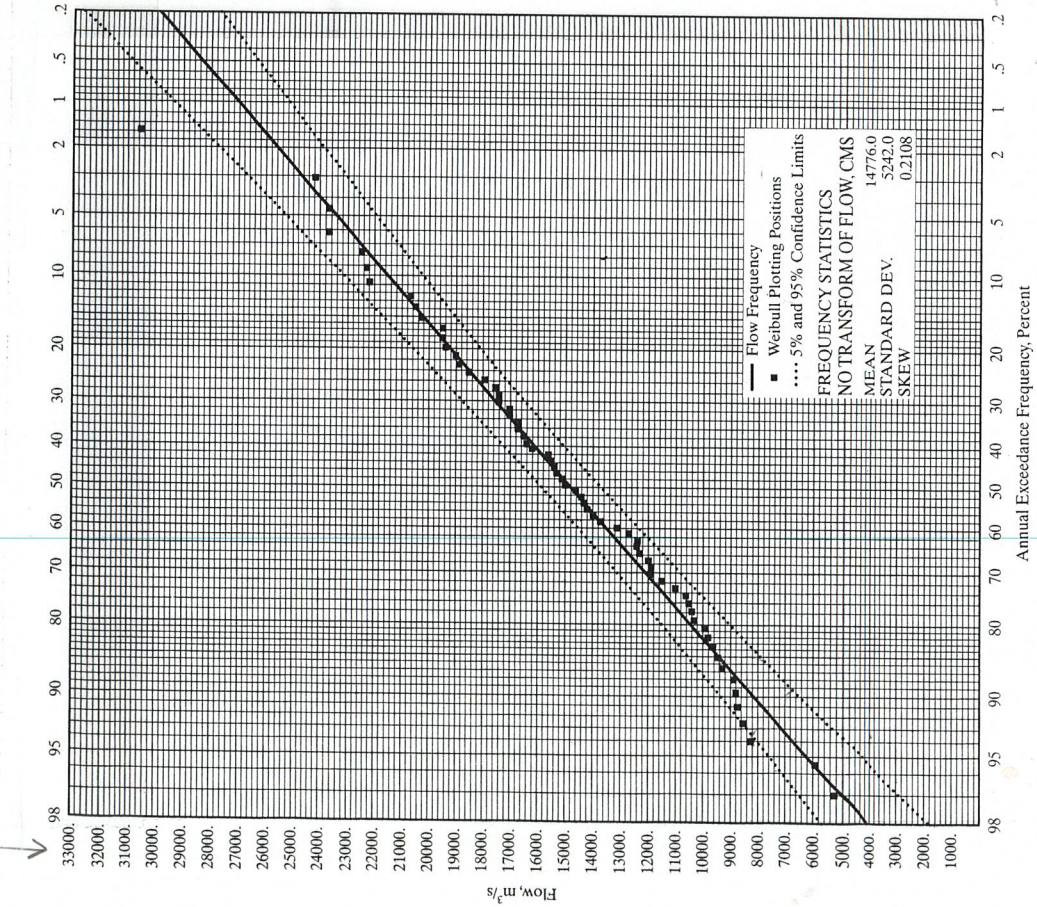


Figure 7.1 The normal frequency curve and Weibull plotting positions for peak annual flows in the Mississippi River at St. Louis are graphed on normal probability paper.

logarithmic scale

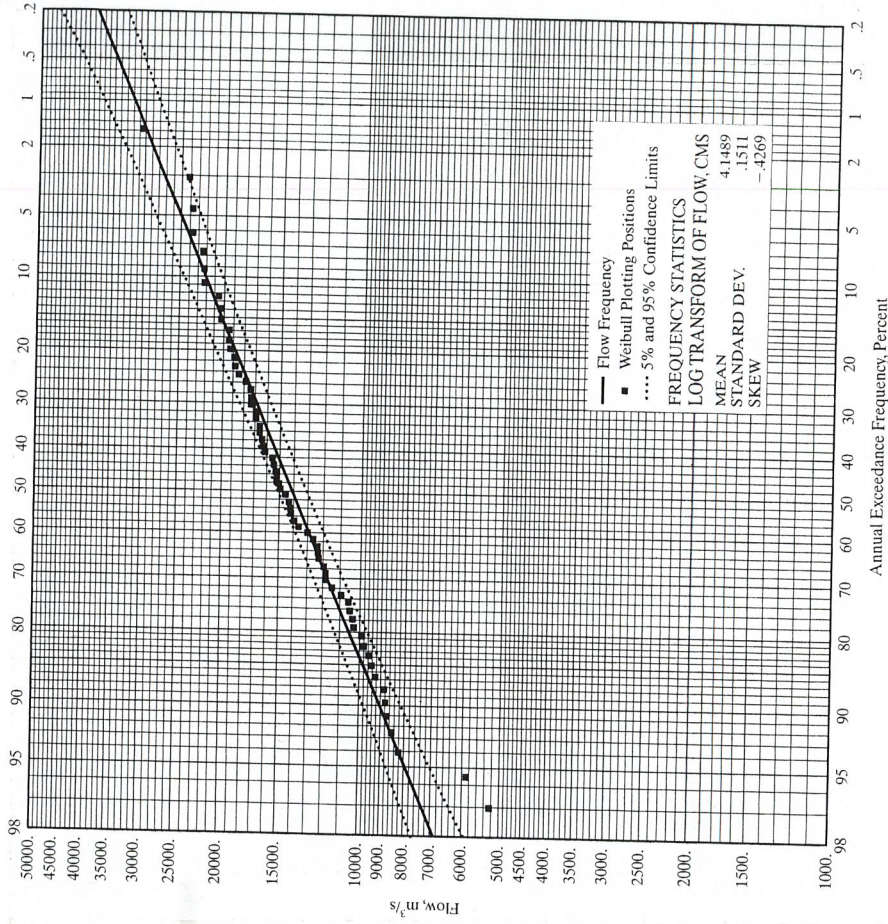


Figure 7.2 The log-Pearson type III frequency curve and Weibull plotting positions for peak annual flows in the Mississippi River at St. Louis are graphed on log-normal probability paper.